

Thursday May 7, 2009
6:30 PM

Administrative Office
Hoover, Alabama

The Board of Dental Examiners of Alabama met to conduct business. Members present were Drs. McCaffery, Mahan, DeRosier, Willis, Northcutt and Ms. Chapman. Also present were Stan McAdams, and Peyton Zarzour.

Dr. McCaffery called the meeting to order at 6:30 PM .

A motion was made by Dr. Willis, seconded by Dr. Mahan, for the Board of Dental Examiners of Alabama to retire to executive session based upon the following allowable statutory reasons:

1. Discussion of the general reputation, character and/or professional competence of licensees.

Dr. McCaffery announced the time as 6:30 PM and will reconvene the public session at approximately 7:30 PM. Dr. McCaffery called for the vote. (Dr. McCaffery – Aye, Dr. DeRosier – Aye, Dr. Mahan – Aye, Dr. Willis – Aye, Dr. Northcutt – Aye, Ms. Chapman – Aye). Motion carried.

Dr. McCaffery reconvened the meeting at 7:30 PM.

Licensure by Credentials – On motion by Dr. Mahan, seconded by Dr. Willis, pursuant to the successful completion of the jurisprudence exam and interview, Ms. Wilkinson was instructed to issue a **Licensure by Credentials to Dr. David Allen.**

Dr. McCaffery called for the vote. (Dr. McCaffery – Aye, Dr. DeRosier – Aye, Dr. Mahan – Aye, Dr. Willis – Aye, Dr. Northcutt – Aye, Ms. Chapman – Aye). Motion carried.

Dr. McCaffery welcomed Matt Teague, Dr. Lee Chaumoun and Dr. Tom Barnes.

Dr. McCaffery brought to the attention of the members information on Swine Influenza.

In-house attorney: Dr. DeRosier and Dr. Willis reported their recommendation to make definitive plans toward reaching a decision. Dr. Northcutt asked for the Committee to present a list of questions. Matthew Teague, an applicant for in-house counsel, introduced himself and gave a brief bio and qualifications. Board members interviewed Mr. Teague and thanked him for his attendance.

Hearing Officer pool discussion: Dr. McCaffery referred to the four agreement approved by the Contract Review Permanent Legislative Oversight Committee with the current hearing officers Dr. McCaffery expressed concern about costs to maintain rotating hearing officers who want to maintain cases from start to finish. Dr. McCaffery stated she felt that the Board should rotate hearing officers on an annual basis rather than a monthly basis. After discussion, the members reach a consensus.

On motion by Dr. Willis, seconded by Dr. Northcutt, the board will use hearing officers Jim Hampton, Pat Harris and Vance Alexander for the remainder of the contract year 2008-2009 on a monthly rotating basis beginning with Jim Hampton in May, Pat Harris – June, Vance Alexander – July, Jim Hampton in August, Pat Harris in September to maintain continuity; the initially assigned hearing officer will be responsible for all inquiries concerning case up till set time of hearing at which time the current rotating hearing officer will hear the case. Dr. McCaffery called for the vote. (Dr. McCaffery – Aye, Dr. DeRosier – Aye, Dr. Mahan – Aye, Dr. Willis – Aye, Dr. Northcutt – Aye, Ms. Chapman – Aye). Motion carried.

Licenses renewal forms – Dr. McCaffery referred to copies of 2009 annual renewal forms. It was requested that the forms be revised to broaden the list of practice classifications. The members agreed to the categories suggested, Private, Institutional, Military and Associate.

Administrative hearing worksheet - Dr. McCaffery referred to the worksheet submitted by Pat Harris, hearing officer. Dr. McCaffery submitted several revisions to more closely meet the needs of this board. On motion by Dr. Northcutt, seconded by Dr. DeRosier, the Board accepts the administrative hearing worksheet as a tool for the hearing officer to work from. Dr. McCaffery called for

the vote. (Dr. McCaffery – Aye, Dr. DeRosier – Aye, Dr. Mahan – Aye, Dr. Willis – Aye, Dr. Northcutt – Aye, Ms. Chapman – Aye). Motion carried.

AADE - Dr. McCaffery expressed concern about expense of the board members going to the AADE annual meeting in Hawaii. Dr. Mahan agreed to bring cost equivalency to check feasibility of attending this meeting.

2010 CITA EXAM schedule - Dr. McCaffery appointed Dr. Willis to be the liaison to the UAB School of Dentistry to encourage the school readiness for implementation of a curriculum integrated examination format for the 2010 exam cycle. It was recommended to meet with the ALDA student leadership.

Dr. McCaffery reported that she will attend the ALDA Board of Trustees, House of Delegates and Reference Committee during the June 2009 ALDA annual session.

Advertising opinion – Dr. Northcutt asked that the Board make a policy statement to complement the legislative change as to advertising.

On motion by Dr. Northcutt, seconded by Dr Mahan, a response letter be formulated to send to all written requests for board advertising opinions. Dr. McCaffery called for the vote. (Dr. McCaffery – Aye, Dr. DeRosier – Aye, Dr. Mahan – Aye, Dr. Willis – Aye, Dr. Northcutt – Aye, Ms. Chapman – Aye). Motion carried.

Dr. Tom Barnes expressed thanks for the direction of the board toward improving relations. He also brought forth questions about legal issues and Dr. McCaffery asked that the questions be written and sent to the Board for discussion.

Dr. Northcutt discussed E-News and voiced concern about pending entry by personnel not trained to do procedures. He feels we need to be proactive to prevent entry into Alabama. Dr. Northcutt will present on the agenda for next month for consideration of how to move forward proactively.

On motion by Dr. Northcutt, seconded by Dr. McCaffery, to create and include in licensure by credential applications, an affidavit (notarized) verifying that applicant has worked 5000 hours within a five year period. Dr. McCaffery called

for the vote. (Dr. McCaffery – Aye, Dr. DeRosier – Aye, Dr. Mahan – Aye, Dr. Willis – Aye, Dr. Northcutt – Aye, Ms. Chapman – Aye). Motion carried.

On motion by Dr. Mahan, seconded by Dr. DeRosier, the meeting was adjourned at 9:20 PM. Dr. McCaffery called for the vote. (Dr. McCaffery – Aye, Dr. Mahan – Aye, Dr. Willis – Aye, Dr. Northcutt – Aye, Ms. Chapman - Aye). Motion carried.

Submitted by: Renee Chapman

Friday May 8, 2009

8:30 AM

The Board of Dental Examiners of Alabama met to conduct business. Members present were Drs. McCaffery, DeRosier, Mahan, Willis, Northcutt and Ms. Chapman. Also present for various portions of the meeting were Stan McAdams, Natalie Virciglio, Mary Ann Wilkinson, Peyton Zarzour, Mr. Joe Wilson, Ward & Wilson, LLC, Mr. Wayne McMahan, Executive Director Alabama Dental Association, and Steve Windom, of Steve Windom, LLC.

Dr. McCaffery called the meeting to order at 8:45 AM.

On motion by Dr. Willis, seconded by Dr. Mahan, the minutes of April 9-10, 2009 were approved. Dr. McCaffery called for the vote. (Dr. McCaffery – Aye, Dr. DeRosier – Aye, Dr. Mahan – Aye, Dr. Willis – Aye, Dr. Northcutt – Aye, Ms. Chapman – Aye). Motion carried.

On motion by Dr. Mahan, seconded by Dr. McCaffery, the financial reported was accepted. Dr. McCaffery called for the vote. (Dr. McCaffery – Aye, Dr. DeRosier – Aye, Dr. Mahan – Aye, Dr. Willis – Aye, Dr. Northcutt – Aye, Ms. Chapman – Aye). Motion carried.

UAB Facility Evaluations - Dr. McCaffery called for reports, Dr. Mahan will be reporting in June. No other reports were provided.

Alabama Licensing Exam - On motion by Ms. Chapman, seconded by Dr. Northcutt Examination Assistants will be compensated \$225.00 per day, Graders will received compensation as due members of the board. Dr. McCaffery called for the vote. (Dr. McCaffery – Aye, Dr. DeRosier – Aye, Dr. Mahan – Aye, Dr. Willis – Aye, Dr. Northcutt – Aye, Ms. Chapman – Aye). Motion carried.

Dr. McCaffery recognized Mr. Wayne McMahan, Executive Director Alabama Dental Association and Mr. Steve Windom, LLC and asked for any comments.

Dr. Northcutt asked Mr. McMahan for the status of legislation regarding classification and training programs for a dental therapist, Mr. McMahan reported no legislation was pending.

A motion was made by Dr. DeRosier, seconded by Dr. Mahan, for the Board of Dental Examiners of Alabama to retire to executive session based upon the following allowable statutory reasons:

1. Discussion of the general reputation, character and/or professional competence of licensees.
2. A declaration by Board counsel, Joe Wilson, a licensed attorney in the State of Alabama that the executive session is for the purpose of settling pending cases.

Dr. McCaffery announced the time as 9:45 AM and will reconvene the public session at approximately 3:00 PM. Dr. McCaffery called for the vote. (Dr. McCaffery – Aye, Dr. DeRosier – Aye, Dr. Mahan – Aye, Dr. Willis – Aye, Dr. Northcutt – Aye, Ms. Chapman – Aye). Motion carried.

Dr. McCaffery reconvened the meeting at 5:40 PM.

Ms. Wilkinson was instructed to revise the licensure by credentials application to include an affidavit to attest to having completed 5000 hours within the five years immediately preceding application.

On motion by Dr. Willis, seconded by Dr. DeRosier, the staff was instructed to schedule Dr. Hilt Tatum to meet with the board for the purposes of consideration of reinstatement of his inactive dental license. Dr. McCaffery called for the vote. (Dr. McCaffery – Aye, Dr. DeRosier – Aye, Dr. Mahan – Aye, Dr. Willis – Aye, Dr. Northcutt – Aye). Motion carried.

On motion by Dr. Willis, seconded by Dr. DeRosier, the staff was instructed to schedule an interview and jurisprudence exam for Dr. Luis Alicea, said interview to include discussion of the information provided at the request of the board. Dr. McCaffery called for the vote. Dr. McCaffery called for the vote. (Dr. McCaffery – Aye, Dr. DeRosier – Aye, Dr. Mahan – Aye, Dr. Willis – Aye, Dr. Northcutt – Aye). Motion carried.

On motion by Dr. Northcutt seconded by Dr. Willis, Mr. Wilson was instructed to notify Mr. Matt Bledsoe of the State of Alabama Office of the Attorney General to prepare a Notice of Hearing for the following:

2007-127

2009-37

Dr. McCaffery called for the vote. (Dr. McCaffery – Aye, Dr. DeRosier – Aye, Dr. Mahan – Aye, Dr. Willis – Aye, Dr. Northcutt – Aye, Ms. Chapman - Aye). Motion carried.

On motion by Dr. DeRosier, seconded by Dr. Willis, the following complaints and investigative cases were **assigned to Board members:**

2008-41 Dr. Mahan

2009-38 Dr. Northcutt

Dr. McCaffery called for the vote. (Dr. McCaffery – Aye, Dr. DeRosier – Aye, Dr. Mahan – Aye, Dr. Willis – Aye, Dr. Northcutt – Aye). Motion carried.

On motion by Dr. McCaffery, seconded by Dr. Willis, the following cases are closed with **no further action**.

2006-100

Dr. McCaffery called for the vote. (Dr. McCaffery – Aye, Dr. DeRosier – Aye, Dr. Mahan – Aye, Dr. Willis – Aye, Dr. Northcutt – Aye). Motion carried.

On motion by Dr. Mahan, seconded by Dr. McCaffery, Ms. Virciglio was instructed to request a **letter of explanation** regarding the following:

2009-13

2008-145

2009-30

2009-19

2009-34

2009-33

Dr. McCaffery called for the vote. (Dr. McCaffery – Aye, Dr. DeRosier – Aye, Dr. Mahan – Aye, Dr. Willis – Aye, Dr. Northcutt – Aye). Motion carried.

On motion by Dr. McCaffery, seconded by Dr. Willis, Mr. Wilson was instructed to request a **letter of clarification** from the complainant regarding the following compliant:

2009-29

Dr. McCaffery called for the vote. (Dr. McCaffery – Aye, Dr. DeRosier – Aye, Dr. Mahan – Aye, Dr. Willis – Aye, Dr. Northcutt – Aye). Motion carried.

On motion by Dr. Willis, seconded by Dr. Mahan, there is **no evidence to sustain a violation** of the Alabama Dental Practice Act in connection with the complaints against the following dentists and the Board instructed Mr. Wilson to notify both the dentist and the complainant of this decision.

2007-16

2006-153

2007-23

2006-41

2006-197

2008-145

Dr. McCaffery called for the vote. (Dr. McCaffery – Aye, Dr. DeRosier – Aye, Dr. Mahan – Aye, Dr. Willis – Aye, Dr. Northcutt – Aye). Motion carried.

On motion by Dr. Northcutt, seconded by Dr. Willis, Ms. Wilkinson was instructed to notify the following complainant and dentist that the matters raised are **outside the jurisdiction** of the Board and the complainant may wish to pursue the complaint through the Alabama Dental Associations' Peer review process.

2006-99

2006-197

Dr. McCaffery called for the vote. (Dr. McCaffery – Aye, Dr. DeRosier – Aye, Dr. Mahan – Aye, Dr. Willis – Aye, Dr. Northcutt – Aye). Motion carried.

On motion by Dr. Mahan, seconded by Dr. Willis, Ms. Wilkinson was requested to issue a Letter of concern regarding the following complaint,

2008-40

Dr. McCaffery called for the vote. (Dr. McCaffery – Aye, Dr. DeRosier – Aye, Dr. Mahan – Aye, Dr. Willis – Aye, Dr. Northcutt – Aye). Motion carried.

A motion was made by Dr. Willis, seconded by Dr. Northcutt, to accept the following resolutions, based upon the recommendation of Mr. Steve Windom, after Mr. Windom's consulting with the Department of Public Examiners and the office of the Attorney General.

1) Investigator Stan McAdams:

WHEREAS The Department of Examiners of Public Accounts office issued an examination report dated February 27, 2009; and

WHEREAS Finding #10 found that the Board had improperly reimbursed Investigator Stan McAdams for certain cell phone expenses; and

WHEREAS The Examiners found that Stan McAdams should reimburse the Board for cell phone charges in the amount of \$5,934.27,

WHEREAS The Board wishes to clarify the Board's position that the cell phone charges furthered the interests of the Board and to retroactively authorize the cell phone expenses and to waive the repayment set out in Finding #10.

NOW THEREFORE be it RESOLVED by the Board of Dental Examiners of Alabama that the Board finds and declares that the cell phone expense of Stan McAdams was a necessary expenditure that furthered the work of the investigators and was necessary for the performance of his duties and therefore the performance of the duties required of the Board; and

BE IT FURTHER RESOLVED; that the reimbursement for cell phone charges by the Investigator Stan McAdams in the amount of \$5,934.27 as described above is hereby waived and the debt is hereby cancelled.

2) Investigator Peyton Zarzour -

WHEREAS The Department of Examiners of Public Accounts office issued an examination report dated February 27, 2009; and

WHEREAS Finding #10 found that the Board had improperly reimbursed Investigator Peyton Zarzour for certain expenses; and

WHEREAS The Examiners found that Investigator Peyton Zarzour should reimburse the Board for cell phone charges in the amount of \$5115.75; and

WHEREAS The Board wishes to clarify the Board's position that the cell phone charges furthered the interests of the Board and to retroactively authorize the cell phone expenses and to waive the repayment set out in Finding #10and

WHEREAS Though not included specifically in the Examination Report, the Examiners by letter to Peyton Zarzour dated June 6, 2008 found Peyton Zarzour had been inappropriately reimbursed \$1,109.72 for undocumented expenses; and

WHEREAS The Board is of the opinion that the documentation for the expenses was provided by Peyton Zarzour and lost by a former Board employee.

NOW THEREFORE be it RESOLVED by the Board of Dental Examiners of Alabama that the Board finds and declares that the cell phone expense was a necessary expenditure that furthered the work of the investigators and was necessary for the performance of his duties and therefore the performance of the duties required of the Board; and

BE IT FURTHER RESOLVED That the Board should not require reimbursement for expenses which was the result of the error of a former Board employee;

BE IT FURTHER RESOLVED; that the reimbursement for cell phone charges by the Investigator Peyton Zarzour and repayment of "undocumented expenses" as described above is hereby waived and the debt is hereby cancelled.

3) Dr. Michael C. Garver, Alabama Dental Professionals Wellness Committee

WHEREAS The Department of Examiners of Public Accounts office issued an examination report dated February 27 2009; and

WHEREAS Finding #7 found that the Board had improperly paid the Dental Professional Wellness Program Coordinator Dr Michael Garver additional compensation for attendance at Board Meetings; and

WHEREAS The Examiners found that while earlier contracts did contain language providing for the additional compensation for attending Board Meetings, the contracts for 2005, 2006 and 2007 did not contain such language such that Dr. Garver was overcompensated in the amount of \$7,550;and

WHEREAS The Board wishes to clarify the Board's position that the omission of compensation for attending Board meetings in contracts with Dr. Garver for 2005, 2006 and 2007 was an inadvertent omission and;

WHEREAS Dr. Garver's attendance at Board meetings furthered the interests of the Board and;

WHEREAS The Board wishes to retroactively authorize the additional compensation paid to Dr. Garver for 2005, 2006 and 2007 in the amount of \$7,550 and to waive the repayment called for in Finding #7;

NOW THEREFORE be it RESOLVED by the Board of Dental Examiners of Alabama that the Board finds and declares that the additional compensation paid to Dr. Garver for contract years 2005, 2006 and 2007 was a necessary expenditure that furthered the work of the Board and therefore the performance of the duties required of the Board; and

BE IT FURTHER RESOLVED; that the reimbursement for compensation paid to Dr. Garver for attendance at Board meetings for 2005, 2006 and 2007 in the amount of \$7,550 as described above is hereby waived and the debt is hereby cancelled.

Dr. McCaffery called for the vote. (Dr. McCaffery – Aye, Dr. DeRosier – Aye, Dr. Mahan – Aye, Dr. Willis – Aye, Dr. Northcutt – Aye, Ms. Chapman - Aye). Motion carried.

On motion by Ms. Chapman, seconded by Dr. Northcutt the reinstatement of Jamesia Marshall, be tabled until Ms. Chapman can report at the June meeting on the continuing education documentation. Dr. McCaffery called for the vote. (Dr. McCaffery – Aye, Dr. DeRosier – Aye, Dr. Mahan – Aye, Dr. Willis – Aye, Dr. Northcutt – Aye, Ms. Chapman - Aye). Motion carried.

Dr. Mahan reported that at the 2009 AADE Mid Year Conference in Chicago the following award was presented to Mr. Jim Ward, in absentia. In acknowledgment of Mr. Ward's distinguished service with the Board of Dental Examiners of Alabama, Dr. Mahan read the inscription:

Board Attorneys Roundtable

of the

American Association of Dental Examiners

Proudly Presents to

Jim Ward

In Grateful Appreciation of His

Outstanding Service and Dedication to the
Dental and Dental Hygiene Professions
This Award for Excellence

April 6, 2009

On motion by Dr. Mahan, seconded by Dr. McCaffery, Mr. Ward will be invited to a formal presentation of this award at a gathering in June. Dr. McCaffery called for the vote. Dr. McCaffery called for the vote. (Dr. McCaffery – Aye, Dr. DeRosier – Aye, Dr. Mahan – Aye, Dr. Willis – Aye, Dr. Northcutt – Aye, Ms. Chapman - Aye). Motion carried.

On motion by Ms. Chapman, seconded by Dr. Willis, Dr. Mahan and Ms. Wilkinson are authorized to initiate plans to renovate the board's conference room before October to accommodate the addition of a board member position. Dr. McCaffery called for the vote. (Dr. McCaffery – Aye, Dr. DeRosier – Aye, Dr. Mahan – Aye, Dr. Willis – Aye, Dr. Northcutt – Aye, Ms. Chapman - Aye). Motion carried.

On motion by Dr. Mahan, seconded by Dr. Northcutt, Dr. Mahan and Ms. Wilkinson are authorized to get the new quarters and necessary office equipment, computers and furniture as needed for hiring of additional administrative personnel. Dr. McCaffery called for the vote. (Dr. McCaffery – Aye, Dr. DeRosier – Aye, Dr. Mahan – Aye, Dr. Willis – Aye, Dr. Northcutt – Aye, Ms. Chapman - Aye). Motion carried.

Dr. Northcutt made a motion, seconded by Dr. Willis, to strike paragraph 3 on page ii of the introduction section of the publication of the Alabama Dental Practice Act concerning review of advertising. We will prepare a written statement mailed to all inquiries seeking a review of dental advertising stating that recent changes in the Alabama Dental Practice Act only requires the advertising contain no false statements. It is the responsibility of the licensee to determine that all statements are factual. Dr. McCaffery called for the vote. (Dr. McCaffery – Aye,

Dr. DeRosier – Aye, Dr. Mahan – Aye, Dr. Willis – Aye, Dr. Northcutt – Aye, Ms. Chapman - Aye). Motion carried.

A motion was made by Dr. Willis, seconded Dr. Northcutt, to honor the current contract of Ward & Wilson, LLC effective through September 30, 2009, be it further moved that Dr. Donna Dixon be contracted as in-house counsel to be effective in a timely manner as directed by the State of Alabama Contract Review Committee at the salary of \$85,000.00. Dr. McCaffery called for the vote. (Dr. McCaffery – Aye, Dr. DeRosier – Aye, Dr. Mahan – Aye, Dr. Willis – Aye, Dr. Northcutt – Aye, Ms. Chapman - Aye). Motion carried.

The next meeting of the Board will be June 4, 2009 at 6:30 PM, continuing on June 5, 2009 at 8:30 AM as needed.

On motion by Dr. Willis, seconded by Dr. Northcutt, the meeting was adjourned at 6:10 PM. Dr. McCaffery called for the vote. (Dr. McCaffery – Aye, Dr. DeRosier – Aye, Dr. Mahan – Aye, Dr. Willis – Aye, Dr. Northcutt – Aye, Ms. Chapman - Aye). Motion carried.

Respectfully submitted,



S. Michael Mahan, Jr.

Secretary - treasurer

Approved:

Submitted by: Mary Ann Wilkinson

BOARD OF DENTAL EXAMINERS OF ALABAMA
Profit & Loss Budget Performance

March 2009

Bank balances as of 03/31/2009
Checking \$506,995.57
Money Market \$297,513.25

| | Mar 09 | Budget | Oct '08 - Mar 09 | YTD Budget | Annual Budget |
|---------------------------------------|------------------|------------------|-------------------|---------------------|---------------------|
| Ordinary Income/Expense | | | | | |
| Income | | | | | |
| 650 · Admin. Fees (Deferred Agreement | 2,500.00 | | 2,500.00 | | |
| 617 · MDF Permits | 0.00 | | 2,250.00 | | |
| 501 · Annual Dental Registration | 410.00 | | 368,240.00 | 362,400.00 | 362,400.00 |
| 502 · Annual Hygiene Registration | 55.00 | | 205,535.00 | 201,500.00 | 201,500.00 |
| 503 · Controlled Substance Fee | 575.00 | | 220,150.00 | 219,995.00 | 219,995.00 |
| 504 · Annual Teaching Permits | 0.00 | | 4,050.00 | 4,000.00 | 4,000.00 |
| 505 · ADHP Certification | 0.00 | | 0.00 | | 13,125.00 |
| 507 · Drug Log Books | 119.00 | 41.67 | 315.00 | 249.98 | 500.00 |
| 508 · ADHP | 1,400.00 | | 2,100.00 | | 64,750.00 |
| 509 · Dental Exam Fee | 0.00 | | 0.00 | | 21,000.00 |
| 510 · Original License Fee | 100.00 | | 125.00 | | 5,500.00 |
| 511 · Licenses Reprint Fee | 50.00 | 50.00 | 500.00 | 300.00 | 600.00 |
| 512 · Dental Hygiene Exam Fee | 300.00 | | 400.00 | | 15,000.00 |
| 513 · Directory Fee | 350.00 | 333.33 | 3,321.00 | 2,000.02 | 4,000.00 |
| 514 · Materials Fee for Exam | 150.00 | | 200.00 | | 21,500.00 |
| 515 · Penalty Fee | 250.00 | 500.00 | 4,550.00 | 3,000.00 | 6,000.00 |
| 516 · Privilege License Money | 0.00 | | 0.00 | | 19,000.00 |
| 517 · Interest Inc - checking | 202.84 | 500.00 | 1,637.19 | 3,000.00 | 6,000.00 |
| 518 · Parental Sedation Permit Fee | 0.00 | | 6,600.00 | 7,200.00 | 7,200.00 |
| 519 · Anesthesia Permit | 0.00 | | 21,400.00 | 25,800.00 | 25,800.00 |
| 521 · Other Income | 0.00 | 41.67 | 0.00 | 249.98 | 500.00 |
| 523 · ADHP Appl Fee | 0.00 | 1,666.67 | 0.00 | 3,333.34 | 5,000.00 |
| 525 · Dent Hyg Bd Appl | 0.00 | 1,416.67 | 25.00 | 2,833.34 | 4,250.00 |
| 526 · ADHP Materials | 340.00 | 32,375.00 | 485.00 | 64,750.00 | 97,125.00 |
| 527 · Disciplinary Fines (Prior) | 1,950.00 | 10,666.67 | 28,000.00 | 63,999.98 | 128,000.00 |
| 527-1 · Administrative Costs | 0.00 | 125.00 | 17,005.00 | 750.00 | 1,500.00 |
| 528 · Initial Anesthesia Evaluation | 2,250.00 | 500.00 | 12,000.00 | 3,000.00 | 6,000.00 |
| 529 · OCS Annual Reg. Fee | 200.00 | | 16,750.00 | 17,000.00 | 17,000.00 |
| 530 · Licensure By Credentials | 4,000.00 | 3,333.33 | 18,000.00 | 20,000.02 | 40,000.00 |
| 531 · RETURNED CHECK CHARGES | 15.00 | 12.50 | 95.00 | 75.00 | 150.00 |
| 533 · Dental Lic. Bd Exam Application | 200.00 | 4,833.33 | 200.00 | 9,666.66 | 14,500.00 |
| 800 · 800-OVERPAYMENTS | 0.00 | | 19.00 | | |
| Total Income | 15,416.84 | 56,395.84 | 926,452.19 | 1,015,103.32 | 1,311,895.00 |

*Disciplinary fines assessed in prior years but collected in this fiscal year.
Budgeted amount represents approx. 75% of the outstanding disciplinary fines assessed in prior fiscal years.

Approved May 8, 2009

BOARD OF DENTAL EXAMINERS OF ALABAMA
Profit & Loss Budget Performance
March 2009

Bank balances as of 03/31/2009
Checking \$506,995.57
Money Market \$297,513.25

| | Mar 09 | Budget | Oct '08 - Mar 09 | YTD Budget | Annual Budget |
|--|-----------------|-----------------|------------------|-----------------|------------------|
| Gross Profit | 15,416.84 | 56,395.84 | 926,452.19 | 1,015,103.32 | 1,311,895.00 |
| Expense | | | | | |
| 927-2 · ADPWC Expenses | 1,831.18 | 1,200.00 | 6,769.98 | 7,200.00 | 14,400.00 |
| 0902-0 · NPDB HIPDB License Background | 0.00 | 33.33 | 0.00 | 200.02 | 400.00 |
| 0100-0 · Personnel Costs | 24,464.04 | 27,500.00 | 147,176.24 | 165,000.00 | 330,000.00 |
| 0114-0 · Board Member Compensation | 11,100.00 | 7,500.00 | 44,400.00 | 45,000.00 | 90,000.00 |
| 0198-0 · Bonus | 0.00 | | 1,100.00 | 1,000.00 | 1,000.00 |
| 0201-0 · Payroll Expenses | 3,236.86 | 4,583.33 | 21,336.73 | 27,500.02 | 55,000.00 |
| 0202-0 · Pension Plan | 0.00 | | 39,976.06 | 42,000.00 | 42,000.00 |
| 0203-0 · Medical Insurance | 1,092.00 | 2,400.00 | 4,881.00 | 14,400.00 | 28,800.00 |
| 0204-0 · Workman Comp. Ins. | 0.00 | | 6,009.00 | 11,000.00 | 11,000.00 |
| 0300-0 · Travel - In-State | 3,179.20 | 3,333.33 | 16,520.49 | 20,000.02 | 40,000.00 |
| 0400-0 · Travel - Out-of-State | 6,701.72 | 2,083.33 | 12,500.30 | 12,500.02 | 25,000.00 |
| 0600-1 · Rentals & Leases/Offsite Meetin | 0.00 | 83.33 | 0.00 | 500.02 | 1,000.00 |
| 0602-1 · Rent on Building | 4,816.05 | 5,000.00 | 28,896.30 | 30,000.00 | 60,000.00 |
| 0700-0 · Utilities & Communications | | | | | |
| 0700-1 · Utilities - Telephone | 738.93 | 795.83 | 4,516.15 | 4,775.02 | 9,550.00 |
| 0700-3 · Utilities - Power | 482.16 | 462.50 | 3,406.86 | 2,775.00 | 5,550.00 |
| Total 0700-0 · Utilities & Communications | 1,221.09 | 1,258.33 | 7,923.01 | 7,550.02 | 15,100.00 |
| 0800-0 · Professional Services | 10,233.34 | 11,250.00 | 53,567.52 | 67,500.00 | 135,000.00 |
| 0800-1 · Membership Dues & Subscriptions | 0.00 | 125.00 | 450.00 | 750.00 | 1,500.00 |
| 0801-0 · Board Attorney Fees | 12,436.99 | 10,416.67 | 53,973.92 | 62,499.98 | 125,000.00 |
| 0840-0 · CONSULTANTS | 4,000.00 | | 12,000.00 | | |
| 0899-1 · Evaluator Fees | 0.00 | | 1,205.85 | | |
| 0899-2 · Hearing Officer Fees | 0.00 | 2,500.00 | 173.55 | 15,000.00 | 30,000.00 |
| 0899-4 · WITNESSES | 0.00 | 4.17 | 0.00 | 24.98 | 50.00 |
| 0899-6 · A.D.H.P. Materials & Supplies | 0.00 | | 0.00 | 70,000.00 | 70,000.00 |
| 0899-7 · ALDA | 0.00 | | 0.00 | 5,000.00 | 5,000.00 |
| 0900-0 · Office Expense | 927.03 | 833.33 | 14,511.35 | 5,000.02 | 10,000.00 |
| 0900-1 · Recycle / Paper Disposal | 74.80 | 83.33 | 519.08 | 500.02 | 1,000.00 |
| 0901-0 · Cita Exam Fees | 0.00 | | 0.00 | | 48,000.00 |
| 0906-0 · Printing & Supplies | 194.26 | 3,583.33 | 4,655.37 | 21,500.02 | 43,000.00 |

*Disciplinary fines assessed in prior years but collected in this fiscal year.
Budgeted amount represents approx. 75% of the outstanding disciplinary fines assessed in prior fiscal years.

BOARD OF DENTAL EXAMINERS OF ALABAMA
Profit & Loss Budget Performance

Bank balances as of 03/31/2009
Checking \$506,995.57
Money Market \$297,513.25

March 2009

| | <u>Mar 09</u> | <u>Budget</u> | <u>Oct '08 - Mar 09</u> | <u>YTD Budget</u> | <u>Annual Budget</u> |
|--|-------------------|-------------------|-------------------------|-------------------|----------------------|
| 0910-0 · Postage | 35.26 | 1,000.00 | 4,094.72 | 6,000.00 | 12,000.00 |
| 0924-0 · Insurance | 0.00 | | 0.00 | 10,350.00 | 10,350.00 |
| 0944 · Computer Expenses | | | | | |
| 0944-0 · Computer Updates | 0.00 | 2,500.00 | 4,644.00 | 15,000.00 | 30,000.00 |
| 0944-1 · Computer Support | 840.68 | 2,500.00 | 9,101.66 | 15,000.00 | 30,000.00 |
| 0944 · Computer Expenses - Other | 0.00 | | 1,853.95 | | |
| Total 0944 · Computer Expenses | 840.68 | 5,000.00 | 15,599.61 | 30,000.00 | 60,000.00 |
| 0951-0 · NSF | 0.00 | | 1,495.00 | | |
| 0999-0 · MISC. | 0.00 | 8.33 | 2,672.82 | 50.02 | 100.00 |
| 1000-0 · Auto Expense | 0.00 | | 2,169.65 | 20,000.00 | 20,000.00 |
| 1000-1 · Vehicle Repairs & Maint. | 1,166.99 | 250.00 | 1,240.43 | 1,500.00 | 3,000.00 |
| 1000-2 · Vehicle Fuel | 0.00 | 891.25 | 2,550.72 | 5,347.50 | 10,695.00 |
| 1400-0 · Equipment | | | | | |
| 0600-3 · Equipment Rental/Lease | 1,979.63 | 1,083.33 | 7,016.36 | 6,500.02 | 13,000.00 |
| 1400-1 · Equipment Repairs & Maint. | 0.00 | 41.67 | 0.00 | 249.98 | 500.00 |
| Total 1400-0 · Equipment | 1,979.63 | 1,125.00 | 7,016.36 | 6,750.00 | 13,500.00 |
| Total Expense | 89,531.12 | 92,045.39 | 515,385.06 | 711,622.66 | 1,311,895.00 |
| Net Ordinary Income | -74,114.28 | -35,649.55 | 411,067.13 | 303,480.66 | 0.00 |
| Other Income/Expense | | | | | |
| Other Income | | | | | |
| 527-2 · ADPWC - Monitoring Fee | 775.00 | | 3,100.00 | | |
| 503-1 · CONTROLLED SUBSTANCE STATE FEE | 40.00 | | -11,980.00 | | |
| 524 · NSF Checks | 935.00 | | 2,416.00 | | |
| Total Other Income | 1,750.00 | | -6,464.00 | | |
| Net Other Income | 1,750.00 | | -6,464.00 | | |
| Net Income | -72,364.28 | -35,649.55 | 404,603.13 | 303,480.66 | 0.00 |

*Disciplinary fines assessed in prior years but collected in this fiscal year.
Budgeted amount represents approx. 75% of the outstanding disciplinary fines assessed in prior fiscal years.